



Attorney Docket 103.1074.01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

COPY OF PAPERS

In re Applic	ation of:	Muhlestein	Art Un	it:	NYA	ORIGINALLY FILED
Serial No.	10/010,9	59	Examir	ner:	NYA	
Filed:	11/30/20	01				
For:	Decentra Stored D	lized Virus Scanning for ata				
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	<u>II</u>	NFORMATION DISCI	LOSURE ST	ATE	MEN	<u>r</u>
Honorable A for Patent Washington	ts	commissioner 231				
Dear Sir:						
	This In	formation Disclosure State	ment is submi	tted u	nder:	
	(V	7 CFR 1.97(b) Vithin three months of the nation plication, OR before the mailing	nal application fil g date of first Off	ing or t	the entry ion, whi	of international chever occurs last.)
	☐ 3 [′]	7 CFR 1.97(c) together wit Statement under 37 CF	R 1.97(e)			
	or [(A wh	\$180.00 fee set forth in fter the CFR 1.97(b) time periodichever occurs first.)			e Action	or Notice of Allowance,
	☐ 3°	7 CFR 1.97(d) together wit Statement under 37 CF \$180.00 fee set forth in	R 1.97(e)	(a		*

(After the CFR 1.97(c) time period, but before payment of the Issue fee.)



TAUS	
Ap	plicant(s) submits herewith:
\boxtimes	Form PTO SB/08A (Information Disclosure Statement by Applicant) listing patent document(s) of which applicant(s) believes might be material to the examination of this application and for which there might be a duty to disclose in accordance with 37 CFR 1.56
\boxtimes	Form PTO SB/08B (Information Disclosure Statement by Applicant) listing non-patent document(s) of which applicant(s) believes might be material to the examination of this application and for which there might be a duty to disclose in accordance with 37 CFR 1.56
<u>Co</u>	pies of Documents
\boxtimes	Applicant(s) submits herewith copies of all listed documents.
	Copies of the listed documents are not included with this filing. Copies of these documents were previously submitted in the following related application:

THE EXAMINER IS ENCOURAGED AND EXHORTED TO MAKE HIS OR HER OWN INDEPENDENT EVALUATION OF POSSIBLE RELEVANCE OF THE LISTED DOCUMENTS.

At the present time, Applicant(s) submits that the listed document(s), taken alone or in combination, neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

In the event fees are required, authorization is hereby granted to charge these fees to Deposit Account No. 50-0365.

It is requested that the information disclosed herein be made of record in this application.

Date: 02-21-2002

Docket No.: Serial No.: Filed:

Steven A. Swernofsky

Respectfully submitted.

¹ Reg. No. 33,040

Swernofsky Law Group P.O. Box 390013 Mountain View, CA 94039-0013 (650) 947-0700



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MA	AR 0 8 2	002 E)			Application Number	10/010,959 COPY OF PAPERS
4	INF	ORMATION	DIS	CLOSURE	Filing Date	11/30/2001 ORIGINALLY FILED
10	STA	EMENT B	Y AF	PPLICANT	First Named Inventor	Muhlestein
					Group Art Unit	NYA
		(use as many shee	ts as n	ecessary)	Examiner Name	NYA
	Sheet	1	of	1	Attorney Docket Number	103.1074.01

				U.S. PATENT DOCUM	ENTS	
Examiner Initials*	Cite No¹	U.S. Patent Document Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	5,604,862		Midgely et al.	02/18/1997	
	2	5,649,152		Ohran et al.	07/15/1997	
	3	5,819,292		Hitz et al.	10/06/1998	
	4	5,835,953		Ohran	11/10/1998	-
	5	5,963,962		Hitz et al.	10/05/1999	
	6	6,138,126		Hitz et al.	10/24/2000	
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	FOREIGN PATENT DOCUMENTS									
Examiner Initials*	Cite No ¹	Forei	ign Patent Document Number ⁴	Kind Code ⁵ (<i>if known</i>)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Te		
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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁴Applicant is to place a check mark here if English language Translation is attached.

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Substitute	form 1449	A/PTO		Complete if Known			
AR O R SOUR	E			Application Number	10/010,959		
			OSURE	Filing Date	11/30/2001	COPY OF PA	
COTA	EMENT	BY APP	LICANT	First Named Inventor	Muhlestein	ORIGINALLY	
				Group Art Unit	NYA		
(u	se as many sh	neets as nece	ssary)	Examiner Name	NYA		
Sheet	1	of	1	Attorney Docket Number	103.1074.01		

	OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS								
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²						
	1	Internet Content Adaptation Protocol (CAP) Forum. FAQs and Answers. 7/13/2001							
	2	Network Appliance. Data ONTAP Event Management System. 8/10/2000							
	3	TUX 2: Slashdot.com. TUX2: The File System That Would Be King. 10/20/2000.							
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TRANSMITTA	L	PATENT TRADEMARK OFFICE Application Number	10/010,959				
FORM		Filing Date	Nov 30, 2001 COPY OF PAPERS				
(to be used for all correspondence after in	itial filing)	First Named Inventor	Muhlestein ORIGINALLY FILED				
		Group Art Unit	NYA				
		Examiner Name	NYA				
Total Number of Pages in This Submission	5+	Attorney Docket Number	103.1074.01				
	ENCLOS	URES (check all that apply)					
Fee Transmittal Form		signment Papers	After Allowance Communication to Group				
Fee Attached	Dra	wing(s)	Appeal Communication to Board of Appeals and Interferences				
Amendment / Reply	Lice	ensing-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)				
After Final	Pet	ition .	Proprietary Information				
Affidavits/declaration(s)	1 1 1	ition to Convert a visional Application	Status Letter				
Extension of Time Request		wer of Attorney, Revocation ange of Correspondence Address	Other Enclosure(s) (please identify below): PTO Form SB/08a PTO Form SB/08b Copies of References Return Postcard				
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Information Disclosure Statement	Rec	quest for Refund					
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Response to Missing Parts/ Incomplete Application	Remarks						
Response to Missing Parts under 37 CFR 1.52 or 1.53							
	TURE OF A	PPLICANT, ATTORNEY, OR	AGENT				
Firm or Individual name Steven A. Sw	ernofsky		Reg. no. 33,040				
Signature	eine						
Date 52-21-	-200	3					
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